CEAT Limited Shareholding Pattern for the quarter ended September 30, 2016

1 Name of Listed Entity: CEAT LIMITED

2 Scrip Code/Name of Scrip/Class of Security 500878/CEATLTD/EQUITY

3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) Reg. 31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending:

September 30, 2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment N.A

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

5 The tabular format for disclosure of holding of specified securities is as follows:-

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - Summary Statement holding of specified securities

Cate- gory	Category of snareholder	INOS OF Share.	No. of fully paid up equity shares held	paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)			ghts held in ead urities	ch class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	in sl	of Locked nares	Number of pledge otherv encumb	d or vise	Number of equity shares held in dematerialized form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII)			(IX)		(X)	(XI)= (VII)+(X)	()	(II)	(XIII)		(XIV)
						(VI)	As a % of (A+B+C2)	No	of Voting Ri	ghts *	Total as a % of (A+B+C)		As a % of (A+B+C2)	No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg:y	Total	(Атвтс)			(a)	(b)	(a)	(b)	
(A)	Promoter & Promoter Group	16	20533743	0	0	20533743	50.76	20533743	0	20533743	50.76	0	50.76	0	0.00	0	0.00	18751395
(B)	Public	58366	19916349	0	0	19916349	49.24	19916349	0	19916349	49.24	0	49.24	0	0.00	NA	ı	19225554
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	L	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	ı	0
	Total	58382	40450092	0	0	40450092	100.00	40450092	0.00	40450092	100.00	0	100.00	0	0.00	0	0.00	37976949

^{*}the Company has only one class of shares

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders No. of shareholder		shareholder shareholder shareholder shareholder shareholder held held beld beld Receipts beld SCRR, 19!						nts held in ead	ch class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Loc	lumber of Locked in shares		nares pledged or encumbered	Number of equity shares held in dematerialized form	
			(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of	(1	X)		(X)	(XI) = (VII)+(X) as a % of	(XI	1)	()	(XIV)		
							(A+B+C2)			Total as a %		A+B+C2	As a % of		As a % of		7 ` <i>'</i>	
							(VIII)	No of Voting Rig	hts	of Total			No.	total Shares	No.	total shares		
														held		held		
								Class X Class Y	Total	Voting rights			(a)	(b)	(a)	(b)		
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	4	148117	0	0	148117	0.37	148117 0	148117	0.37	0	0.37		0.00		0.00	148117	
i	Anant VardhanGoenka	1	14185	0	0	14185	0.04	14185 0	14185	0.04	0	0.04		0.00		0.00	14185	
ii	Harsh Vardhan Goenka	1	120000	0	0	120000	0.30	120000 0	120000	0.30	0	0.30		0.00		0.00	120000	
iii	Harsh Vardhan Goenka	1	10133	0	0	10133	0.03	10133 0	10133	0.03	0	0.03		0.00		0.00	10133	
iv	Harsh Vardhan Goenka	1	3799	0	0	3799	0.01	3799 0	3799	0.01	0	0.01		0.00		0.00	3799	
												0.00						
(b)	Central Government/ State Government(s)	0	0	0	0	0	0.00	0 0	0	0.00	0	0.00		0.00		0.00	0	
(c)	Financial Institutions/ Banks	0	0	0	0	0	0.00	0 0	0	0.00	0	0.00		0.00		0.00	0	
(d)	Any Other (specify)	11	18603278	0	0	18603278	45.99	18603278 0	18603278	45.99	0	45.99		0.00		0.00	18603278	
(d-1)		1																
l'i	Instant Holdings Limited	1	11510812	0	0	11510812	28.46	11510812 0	11510812	28.46	0	28.46		0.00		0.00	11510812	
ii	Swallow Associates LLP	1	4484624	0	0	4484624	11.09	4484624 0	4484624	11.09	0	11.09		0.00		0.00	4484624	
iii		1	1372835	0	1	1372835	3.39	1372835 0	1372835	3.39	0	3.39		0.00		0 0.00		
iv		1	959125	0		959125	2.37	959125 0	959125	2.37	0	2.37		0.00		0 0.00		
		1	275876	0		275876	0.68	275876 0	275876	0.68	0	0.68		0.00		0 0.00		
٧.	SUDARSHAN ELECTRONICS AND TV LTD	1	2/36/0	0		2/36/0	0.00	2/36/0	2/36/0	0.00	0	0.00		0.00		0.00	2/36/0	
vi Lua	II III	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
(d-2)	Trust																	
١.	Harsh Vardhan Goenka (in the Capacity Trustee of Steller Energy Trust)	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
1 '	Harsh Vardhan Goenka (in the Capacity Trustee							1	1					0.00		0.00	1	
ii	of Nucleus Life Trust)	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
"	Harsh Vardhan Goenka (in the Capacity Trustee							1	-					0.00		0 0.00	_	
iii	of Crystal India Tech Trust)	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
	Harsh Vardhan Goenka (in the Capacity				_			1	-					0.00		0.00	1	
iv	Trustee of Monitor Portfolio Trust)	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
	Harsh Vardhan Goenka (in the Capacity of	_	ار				0.00			0.00		0.00						
V	Trustee of Secura India Trust)	1	1	0	0	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1	
	Sub-Total (A)(1)	15	18751395	0	0	18751395	46.36	18751395 0	18751395	46.36	0	46.36		0.00		0.00	18751395	
(2)	Foreign																	
1	Individuals (Non-Resident Individuals/	_	ا _ا	_	_													
(a)	Foreign Individuals)	0		0	0	0	0.00	0	0	0.00	0	0.00	'	0.00		0.00	0	
(b)	Government	0	اه او	0	0	0	0.00	0 0	0	0.00	0	0.00		0.00		0.00	0	
(c)	Institutions	"	ا ا	n	"	ا ا	0.00	ا ا	n	0.00	ا م	0.00		0.00		0 0.00		
(0)			1	•	_		0.00	Ĭ	· ·	0.00		5.55		5.55		0.00		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0.00	0	0.00		0.00		0.00	0	
(f)	Any Other (specify)	1	1782348	0	0	1782348	4.41	1782348 0	1782348	4.41	0	4.41		0.00		0.00	0	
(f-i)		0	0	0	0	0	0.00	o 0	0	0.00	0	0.00		0.00		0.00	0	
,	Societe Ceat D Investissements EN Asie S		1702240	-		4700040			4702240									
1	A, SPF	1	1782348	0	0	1782348	4.41	1782348 ⁰	1782348	4.41	0	4.41		0.00		0.00	Ü	
<u> </u>	Sub-Total (A)(2)	1	1782348	0	0	1782348	4.41	1782348 0	1782348	4.41	0	4.41		0.00		0 0.00	0	
														1				
1	Total Shareholding of Promoter and Promoter																	
L	Group (A)= (A)(1)+(A)(2)	16	20533743	0	0	20533743	50.77	20533743 0	20533743	50.77	0	50.77		0.00		0.00	18751395	
Detai	ls of Shares which remain unclaimed may be gi	iven hear along wit	th details such as nu	mber of sharehold	ers, outstanding sh	ares held in demat	/unclaimed suspens	e account, voting rights wh	ich are frozen	etc.	·	<u> </u>						

^{*}The name of the company has been changed from "Chattarpati Investments Limited" to "Chattarpati Apartment Limited" w.e.f November 18, 2003, in accordance with the Fresh Certificate of Incorpoartion consequent upon change of name issued by the Registrar of Companies, Maharashtra, Mumbai. Further Chattarpati Apartments Limited was converted into a Limited Liability Partnership on July 25, 2014 vide Certificateof Registration on Conversion of Chattarpati Apartment Limited to Chattarpati Apartment LIP issued by the Registrar of Companies, Maharashtra, Mumbai.

	Category & Name of the Shareholders	Nos. of sharehol der	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of		thts held in eac urities	ch class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		of Locked hares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
	(1)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2)	(IX)				(x)	(XI) = (VII)+(X) as a % of	()	(II)	(XIII)	(XIV)
		` ,	` '	, ,	, ,	'	(VIII)					` ,	A+B+C2	,		As a % o	f Ì
							, ,									total	
											Total as a				As a % of	No. (not shares	
								No o	Voting Ri	ights	% of Total			No.	total	applicabl held	
										•	Voting				Shares	e) (Not	
											rights				held	applicab	ıl
																e)	
								Class X	Class Y	Total	1			(a)	(b)	(a) (b)	1
(1) I	nstitutions													ν-,	(-)	(=)	
	Mutual Funds/UTI	41	2165976	0	0	2165976	5.35	2165976	0	2165976	5.35	0	5.35		0.00	NA	2153426
(a-i)	Mirae Asset Emerging Bluechip Fund (*)	3	8,83,542	0	0	883542	2.18	883542		883542	2.18	0	2.18		0.00	N.A	8,83,542
(a-ii)	Dsp Blackrock (*)	2	4,47,644	0	0	447644	1.11	447644		447644	1.11	0	1.11		0.00	N.A	4,47,644
(b) \	/enture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	o	0.00		0.00	NA	0
(c) A	Alternate Investment Funds	1	1500	^	_	1500	0.00	1500	0	1500	0.00	,	0.00	,	0.00	NA NA	1500
	Foreign Venture Capital Investors	0	1300	0		1300	0.00	1300	0	1300	0.00		0.00		0.00	NA NA	1300
·,	<u> </u>	Ĭ	Ĭ		Ĭ	I]	Ĭ	Ĭ	l	5.50	•	0.55	1 `	3.30		1
(e) F	Foreign Portfolio Investors	129	10315387	0	0	10315387	25.50	10315387	0	10315387	25.50	o	25.50		0.00	NA	10307777
(e-i) A	Amansa Holdings Private Limited	1	20,99,884	0	0	2099884	5.19	2099884	0	2099884	5.19		5.19		0.00	NA	20,99,884
(e-ii)	IWALAMUKHI INVESTMENT HOLDINGS	1	18,20,944	0	0	1820944	4.50	1820944	0	1820944	4.50	0	4.50	(0.00	NA	18,20,944
ŀ	(OTAK MAHINDRA (INTERNATIONAL)		14,32,897														14,32,897
(e-iii) L	LIMITED	1	14,52,037	0	0	1432897	3.54	1432897	0	1432897	3.54	0	3.54		0.00	NA	14,02,097
	DIMENSIONAL EMERGING MARKETS		5,80,945					F0004F						,			5,80,945
(e-iv) \	/ALUE FUND Financial Institutions/ Banks	1	24775	0	0	580945 24775	1.44 0.06	580945 24775	0	580945 24775	1.44 0.06		1.44 0.06		0.00	NA NA	19662
	nsurance Companies	4/	990795	U		990795		990795	0	990795			2.45		0.00	NA NA	990720
(g-i)	The New India Assurance Company Limited	13	4,70,937	0		470937	1.16	470937	0	470937	1.16		1.16			NA NA	470937
	Provident Funds/ Pension Funds	0	4,70,557	0	١	4,055,	0.00	4,033,	0	4,033,	0.00		0.00		0.00	NA NA	4,033,
	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00		0.00		0.00	NA	0
	Sub-Total (B)(1)	231	13498433	0	0	13498433	33.37	13498433	0	13498433	33.37	0	33.37	•	0.00	NA	13473085
	Central Government/ State Government(s)/																
(2) F	President of India	2	9700	0	0	9700		9700	0	9700			0.02		0.00	NA	0
/2)	Sub-Total (B)(2) Non-institutions	2	9700	0	0	9700	0.02	9700	0	9700	0.02	0	0.02		0.00	NA	0
	ndividuals -	57083	3971947	0	0	3971947	9.82	3971947		3971947	9.82		9.82		0.00	NA	3336847
(u,	. Individual shareholders holding nominal	37003	53, 234,	Ĭ		33,134,	3.02	3372317		33, 23 1,	5.02	-	3.02	`	0.00		3335517
s	share capital up to Rs. 2 lakhs.	57081	3922245	0	0	3922245	9.70	3922245	0	3922245	9.70	0	9.70		0.00	NA	3287145
	i. Individual shareholders holding nominal											-					
s	hare capital in excess of Rs. 2 lakhs.	2	49702	0	0	49702	0.12	49702	0	49702	0.12	0	0.12		0.00	NA	49702
(b) P	NBFCs registered with RBI	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	(0.00	NA	10
	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	(0.00	NA	0
	Overseas Depositories (holding DRs)																
	balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00		0.00		0.00	NA	0
	Any Other (specify)	1049	2436259	0	0	2436259		2436259	0	2436259			6.02		0.00	NA	2415612
	Trusts	6	2107	0	0	2107		2107	0	2107	0.01		0.01		0.00	NA NA	2107
II E	Bodies Corporate	421	172386	0	0	172386	0.43	172386	0	172386	0.43	0	0.43	(0.00	NA	151783
iii l	JNCLAIMED SECURITIES SUSPENSE ACCOUNT	1	137602	0	0	137602	0.34	137602	0	137602	0.34		0.34		0.00	NA	137602
	Clearing Members	607	675193	n	n	675193		675193	n	675193			1.67		0.00	NA NA	675193
v L	imited Liablity Partnership - LLP	10	24552	0	0	24552		24552	0	24552	0.06		0.06		0.00	NA NA	24552
vi (OCBs/Foreign Companies	2	1421412	0	0	1421412	3.51	1421412	0	1421412			3.51		0.00	NA	1421375
(vi-i)	Westbridge Crossover Fund, Llc	1	1421375	0	0	1421375		1421375	0	1421375			3.51		0.00	NA	1421375
vii [Directors & Relatives	2	3007	0	0	3007	0.01	3007	0	3007	0.01		0.01		0.00	NA	3000
	Sub-Total (B)(3)	58133	6408216	0	0	6408216	15.84	6408216	0	6408216	15.8422779	0	15.84		0	0	5752469
-4-1 0	lic Shareholding								_		i		<u> </u>				1
)+(B)(2)+(B)(3)	58366	19916349			19916349	49.23	19916349		19916349	49.23		49.23			NA	19225554

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Shares which remain unclaimed has been transferred to CEAT Limited-Unclaimed Securities Suspense Account (12024300-00080409) and as on September 30, 2016, 137602 outstanding shares represeting 4668 shareholders are held in the said demat account. The voting rights on these shares shall remain frozen till the rightful owner of such shares claims the share

^(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

	Category & Name of the Shareholders	PAN	No. of sharehol der	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Numbe		Rights he	eld in each class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		er of Locked in shares		hares pledged or e encumbered	Number of equity shares held in dematerialized form	
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2)		(IX)			(X)	(XI) = (VII)+(X) as a % of		(XII)	(XIII)		(XIV)	
								(VIII)	No	No of Voting Rights		No of Voting Rights Total as a % of Total Voting rights			A+B+C2	No.	As a % of total Shares held	No. (not appli-cable)	As a % of total shares held (Not applicable)	(Not Applicable)
									Class X	Class Y	Total				(a)	(b)	(a)	(b)	†	
(a) (i) (ii)	Custodian/DR Holder Name of DR Holder (if available) abc,., efg		0	0	(0	0	0.00	(0 (C	0.00	0	0.00	(0.00		NA NA NA	0	
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Name (abc		0	0		0	0	0.00) () (C	0.00	0	0.00	(0.00		0 NA	0	
Total	Non-Promoter- Non Public holding (C)= (C)(1)+(C)(2)		0	0	(0	0	0.00) () (C	0.00	0	0.00	(0.00		NA	0	